

# PREVENT1

(and other developments in  
Homelessness Statistics)

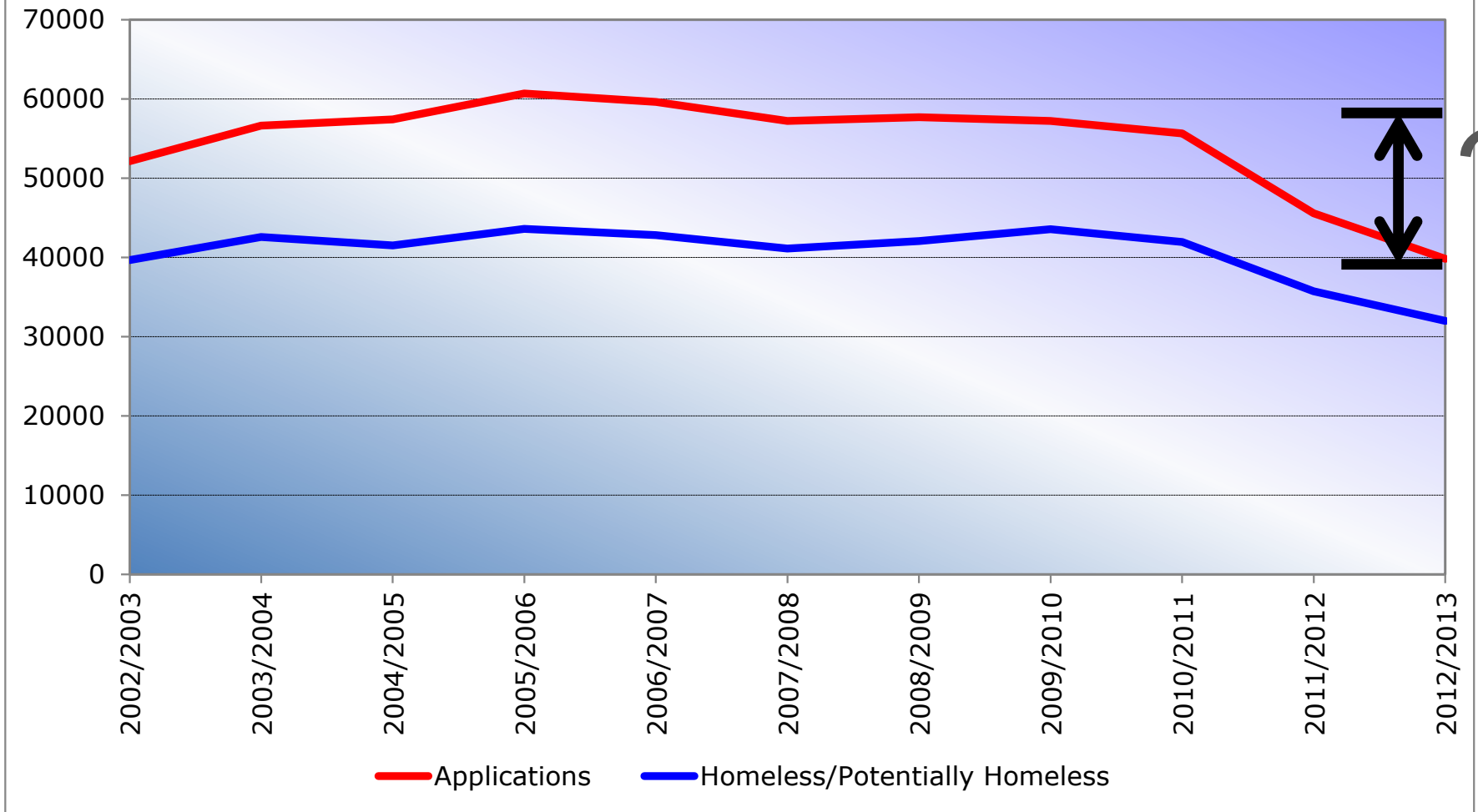
Dr. Andrew Waugh

6 March 2014

## Number of Cases Assessed as Homeless



### Applications and Homeless/Potentially Homeless Assessments



# The History

- Graeme Brown, director of Shelter Scotland, wrote in a letter to Inside Housing that it is '***simply not credible***' – 13 April 2012



- **“The Committee also considers that the Scottish Government should consider the development of a measurement tool for homelessness prevention work”**

- Collection is led by LA demand. A number already have systems in place.

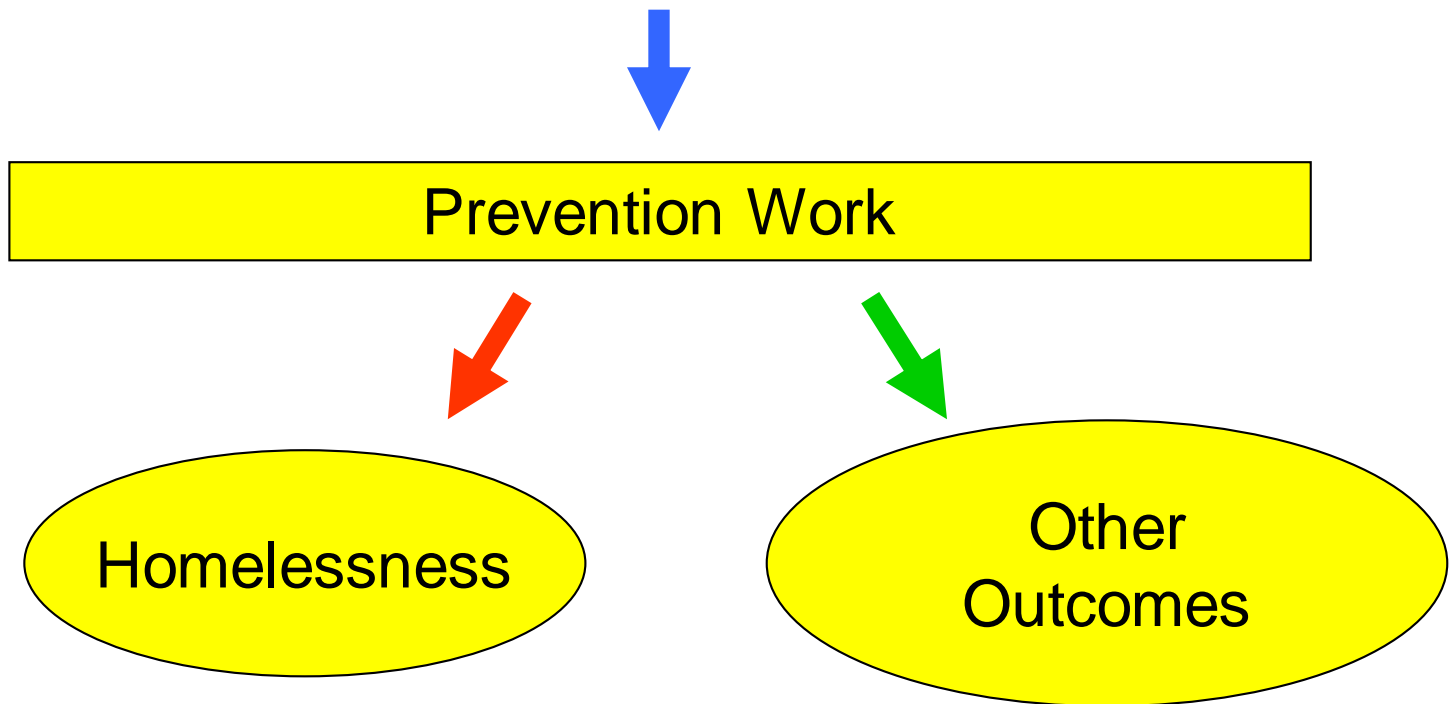


# Development

- Some questions influenced from the English pro forma in the P1E, but case level
- Happens upstream of homelessness. Therefore needs to sit outwith homelessness recording (the HL1).
- As far as possible, we want to measure outcomes, not activity.

# Why an additional system?

- Why not expand the HL1?

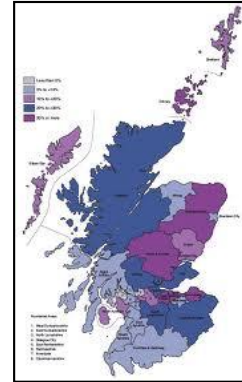


# The PREVENT1 Return

- Application Details
- Household Characteristics
- Reasons for application
- Prevention Activity/Activities
- Outcome

[tinyurl.com/prevent1](https://tinyurl.com/prevent1)

# Getting a Mandate



The Scottish Parliament  
Pàrlamaid na h-Alba



Mandatory Collection starts 1<sup>st</sup> April 2014



**The Scottish Government**

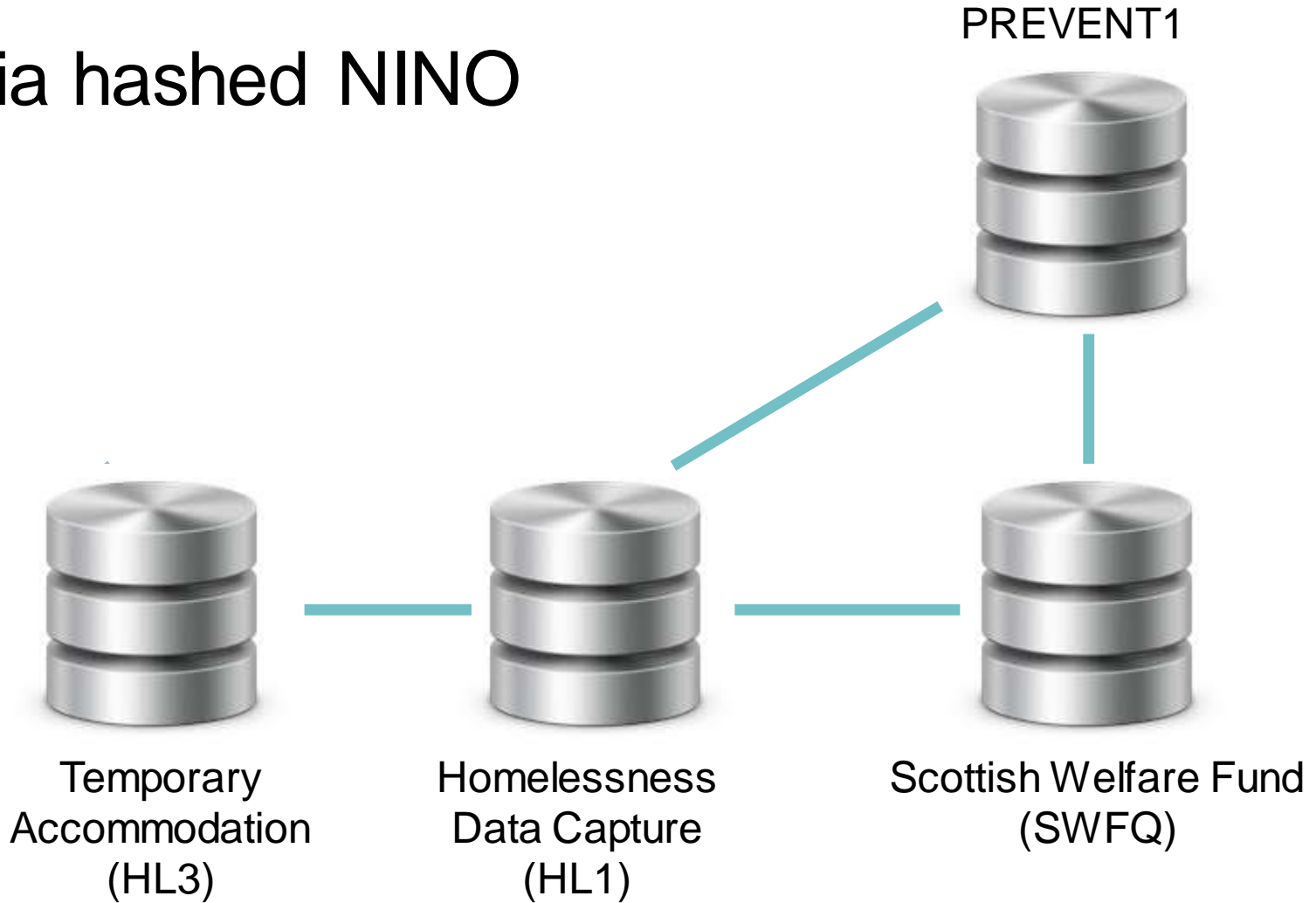


# Challenges

- Loss of visibility when a household leaves LA services?
- What was the outcome?

# Data Linkages

- Via hashed NINO



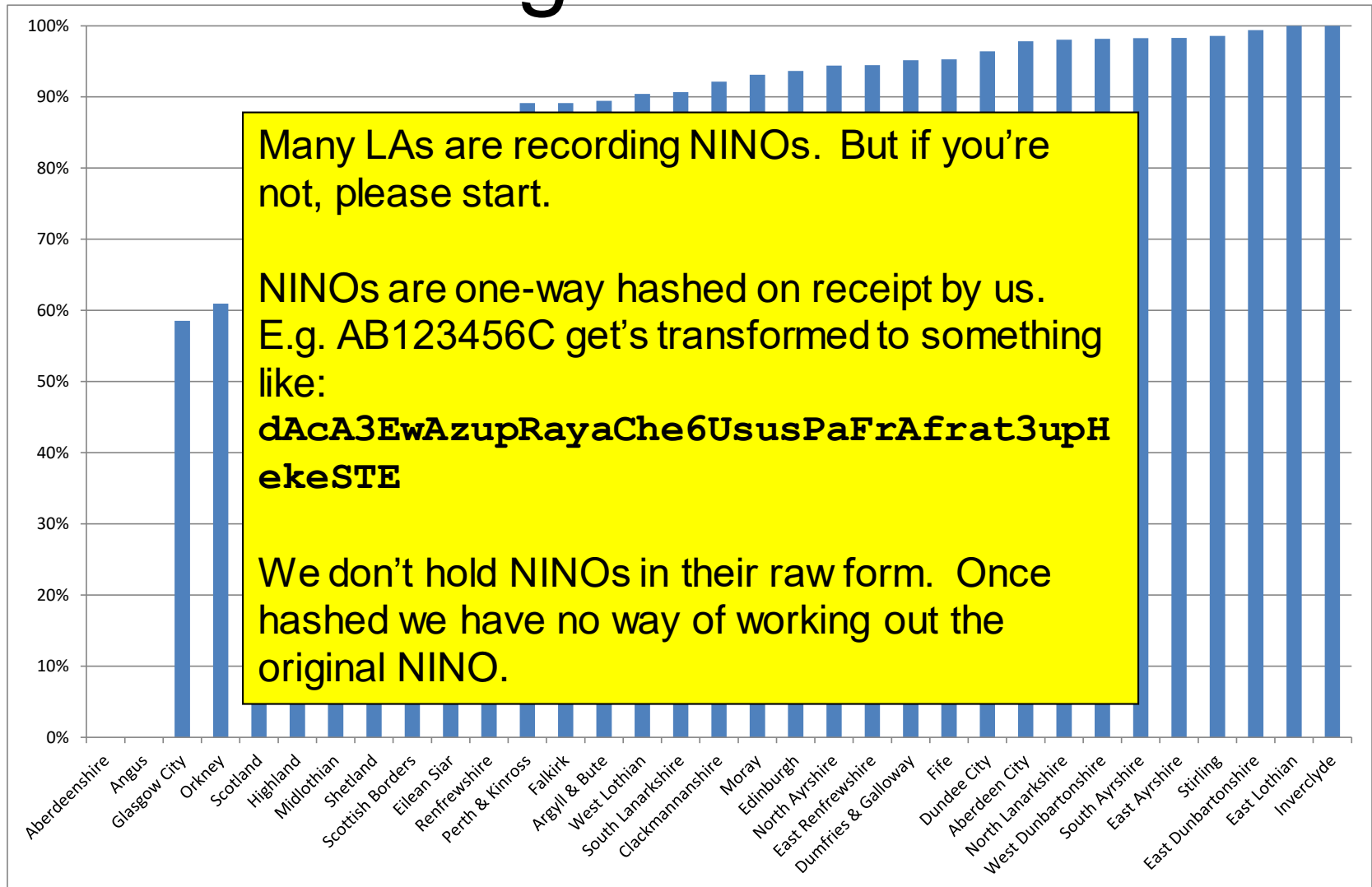
# Hashing NINOs

- NINOs are one-way hashed at point of submission to SG.
- E.g. AB123456C get's transformed to something like:

**dAcA3EwAzupRayaChe6UsusPaFrAf  
rat3upHekeSTE**

- Important that NINOs are recorded in HL1, PREVENT1 and SWF

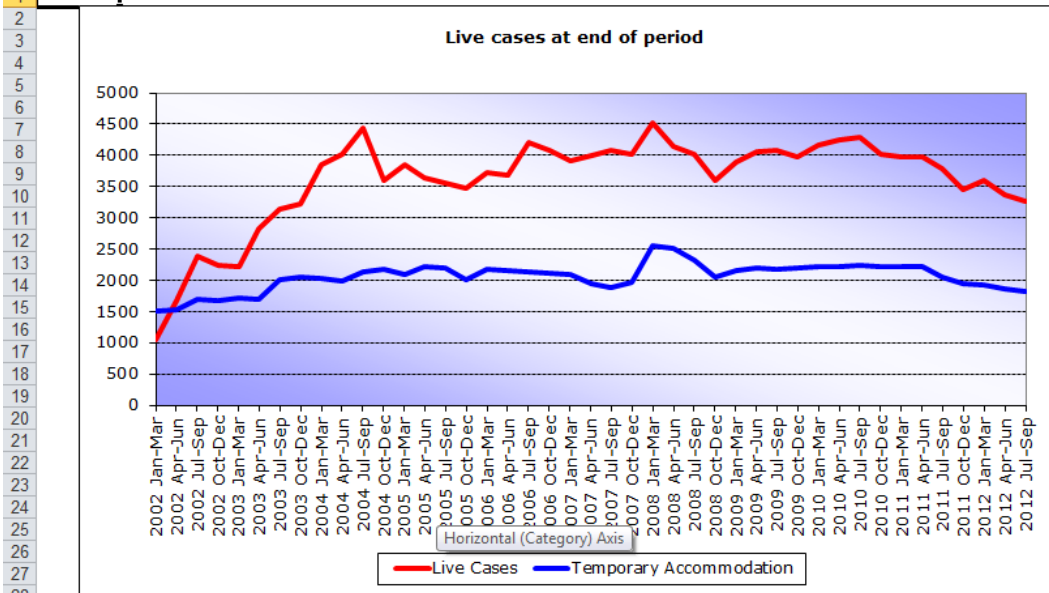
# Recording of NINO in HL1



# Key Message

- Please record NINOs in PREVENT1 and HL1.
- This may enable outcomes to be completed, even if contact is lost with the household.
- **Also less work for LAs** - we'll do the data linkages automatically. E.g. was the outcome a homelessness application?

# PREVENT1 Automatic Reporting



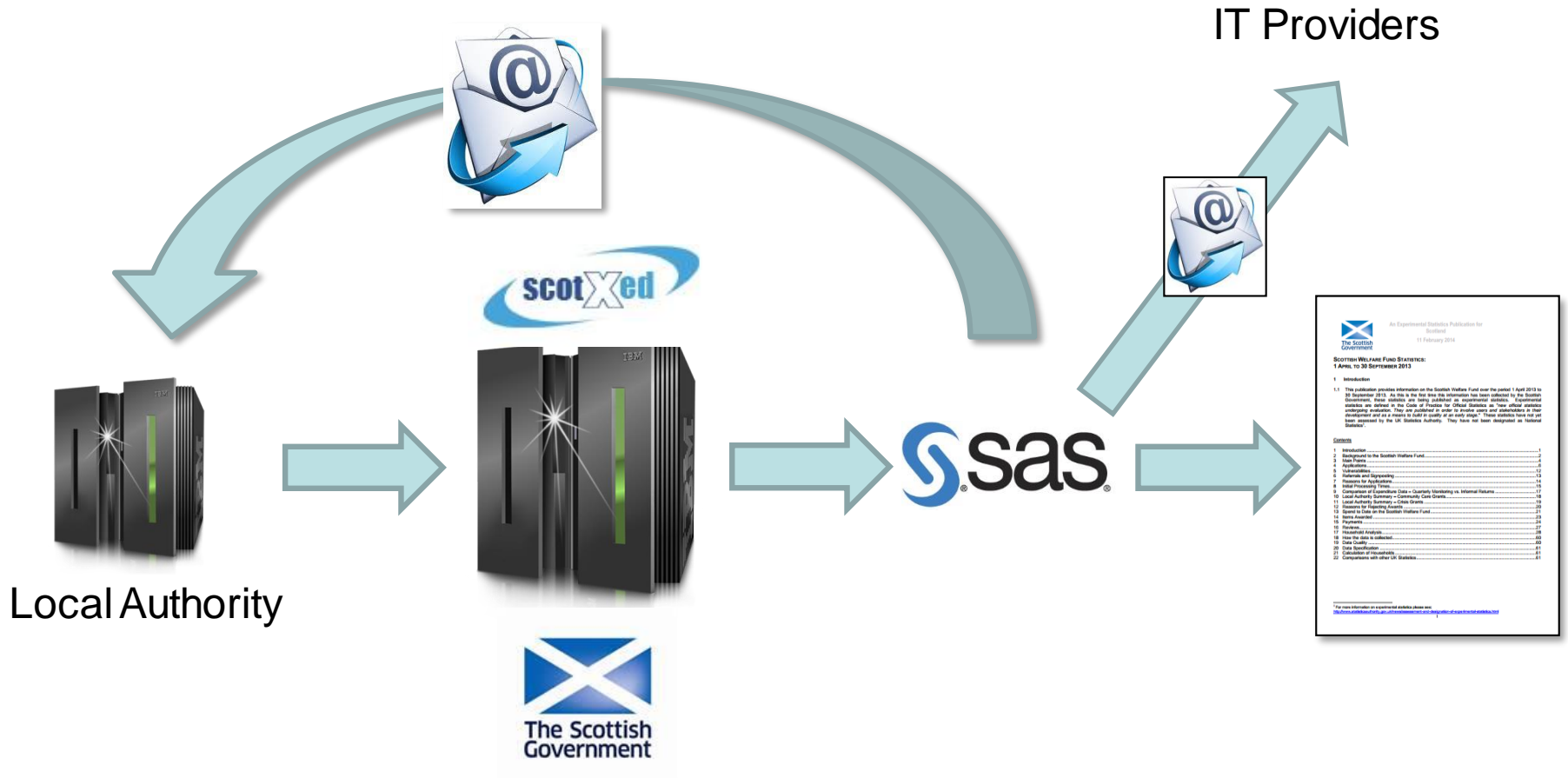
[Back to contents](#)

	F	G	H	I	J
2011 Oct-Dec	2012 Jan-Mar	2012 Apr-Jun	2012 Jul-Sep		
2137	2492	2251	2142		
2199	2359	2316	2230		
2477	2351	2470	2250		
2011 Oct-Dec	2012 Jan-Mar	2012 Apr-Jun	2012 Jul-Sep	2002 Oct-Dec	2003 Jan-Mar
3451	3592	3373	3265	3078	3090
2011 Oct-Dec	2012 Jan-Mar	2012 Apr-Jun	2012 Jul-Sep	2002 Oct-Dec	2003 Jan-Mar
1519	1705	1564	1531	N	N
1472	1653	1543	1518	2195	2208
96.9%	97.0%	98.7%	99.2%	59	47
				391	375
				186	211
				17	21
				24	21
				114	120
				79	77
				13	10
29	2010	2011	2011	2011	2011
30	2011	2012	2012	2012	2012
31	0	0	0	0	0
32	0	0	0	0	0
33	0	0	0	0	0
34	3279	3240	3369	3078	3090
35					

19										
20										
21										
22										
23										
24										
25										
26										
27										
28										
29	Lost contact before assessment decision (from 1 April 2007)									
30	Withdraw application before assessment decision (from 1 April 2007)									
31	Ineligible for assistance (from 1 April 2007)									
32	All									
33										
34	<a href="#">Back to contents</a>									
35										



# PREVENT1 Data Processing



# What questions can we answer with PREVENT1?

- What does Housing Options mean in each LA?  
E.g. the range of interventions provided.
- What types of interventions are most successful?
- How often are people re-applying for help?
- What are the relationships with homelessness services and the Scottish Welfare Fund?



# Timetable for Implementation

Milestone	Date
PREVENT1 goes live in <u>all</u> LAs	No later than 1 April 2014
Web-based upload system (ProcXed) goes live	By 30 June 2014
LAs submit first quarter data to ProcXed	July/ August 2014
Automatic Reports back to LAs	By September 2014
First Publication	To be decided.

# What is ProcXed?

- It's the Scottish Government's on-line validation software that supports local authorities in collecting and validating data.
- Used for a growing number of SG data collections, including Looked After Children and the Scottish Welfare Fund.
- <https://procxed.scotxed.net/ProcXed/Security/Login.aspx>

# PREVENT1 Data Specification

- Data specification (and guidance) available at <http://tinyurl.com/prevent1>
- The only acceptable format for data is an xml file which meets the data specification.
- Ensure your system is capturing all the required information from 1 April 2014.

# Testing PREVENT1 Data

- Encourage preliminary testing against the XSD Schema available at:
- <http://tinyurl.com/prevent1>
- For example, if it's helpful see:  
<http://stackoverflow.com/questions/15436183/using-notepad-to-validate-xml-against-an-xsd>

# How will LAs upload PREVENT1 data?

- User instructions for PREVENT1 will be developed by end June 2014.
- In the meantime, for a taster see:  
<http://www.scotland.gov.uk/Topics/Statistics/15257/1529/LAuploadinfo>
- (These are the instructions to LA officers uploading Scottish Welfare Fund data but the same principles apply).

# PREVENT1 Q&A

1. When does recording of the prevent case start? (on initial approach or when prevention activity starts?)

- This is for each LA to decide.

2. How often is the prevent return due? And does it get sent via email?

- Quarterly. Due with one month of the end of the quarter. Data transfer is via ProcXed.



# PREVENT1 Q&A

3. Once the Council have sent a test XML file to SG will feedback be given?

- Recommend testing against XSD schema first. ([Slide 20](#))
- When upload system is developed, it gives instant information on the errors in the data and allows LA to fix before submitting.



# PREVENT1 Q&A

4. Do all prevention cases also need to have HL1 case?
- No. But as soon as Section 28 (1) of the Housing (Scotland) Act 1987 applies, an HL1 must commence.  
*“If a person (“an applicant”) applies to a local authority for accommodation, or for assistance in obtaining accommodation, and the authority have reason to believe that he may be homeless or threatened with homelessness, they shall make such inquiries as are necessary to satisfy themselves as to whether he is homeless or threatened with homelessness.” - Section 28 (1) of the Housing (Scotland) Act 1987*





# PREVENT1 Q&A

5. Where the prevent case has previously been through HL1 is it mandatory to provide the linked details?

- If you record the NINOs in both PREVENT1 and HL1, we will auto-complete the links for you.
- If you do not record the NINO on both datasets, the link must be made manually by the LA.
- Record NINOs in both PRVENT1 and HL1 to save time.



# PREVENT1 Q&A

6. Will prevention activity on cases prior to 1st April need to be reported?

- It is only mandatory to provide PREVENT1 data on cases which commenced on or after 1 April 2014.
- LAs are welcome to send us data which covers earlier periods of time, provided that it meets the data specification. We'll then include it in our automatic reports back to LAs.



# PREVENT1 Q&A

7. We are currently in the process of implementing an electronic self-service housing options module.

Prospective applicants will be required to go on-line and complete the questions, based on the answers given relevant advice/options will be generated in response to these. Could such cases be registered on prevent 1 as having been provided TYPE 1 Advice and Information?

- Yes. Please ensure the NINO is recorded too as this will enable linkages with the HL1 dataset.



# PREVENT1 Q&A

8. Can RSLs also submit PREVENT1 data?

- Yes. This will be on a voluntary basis. We'll provide instructions on how RSLs can do this shortly.



# Other Developments in Homelessness Statistics

# What we've been working on

Case Level  
Homelessness data  
(HL1)

Temporary  
Accommodation  
(HL2)



DHPs in Scotland

PREVENT1

Temporary  
Accommodation  
(HL3)

Scottish Welfare Fund  
(SWFQ)

# HL3 Data Collection

# Background

- To help LAs to provide information for three SHR Charter Indicators
- To provide us with a better understanding of temporary accommodation
- It's voluntary.
- In September 2013, we conducted a brief survey of local authorities, to check their progress on being able to supply HL3 data. Of the 29 local authorities, who responded:
  - 24 LAs stated that they are developing an IT system and intend to submit HL3 data to the Scottish Government from 1 April 2014.
  - 3 LAs do not intend to submit HL3 data.
  - 2 LAs were not yet in a position to say.



# Method of Data Transmission

- CSV files which meets the data specification at:  
<http://www.scotland.gov.uk/Topics/Statistics/15257/1529/HL3/HL3DataSpecification>
- Should be sent directly to:  
[homelessnessstatisticsinbox@scotland.gsi.gov.uk](mailto:homelessnessstatisticsinbox@scotland.gsi.gov.uk)
- Data processing identical to HL1 process.

# Frequency of Data Transmission

- The HL3 is voluntary
- Submit as often as you want to.
- We would recommend quarterly.
- As it is voluntary, we will not chase LAs for this data.

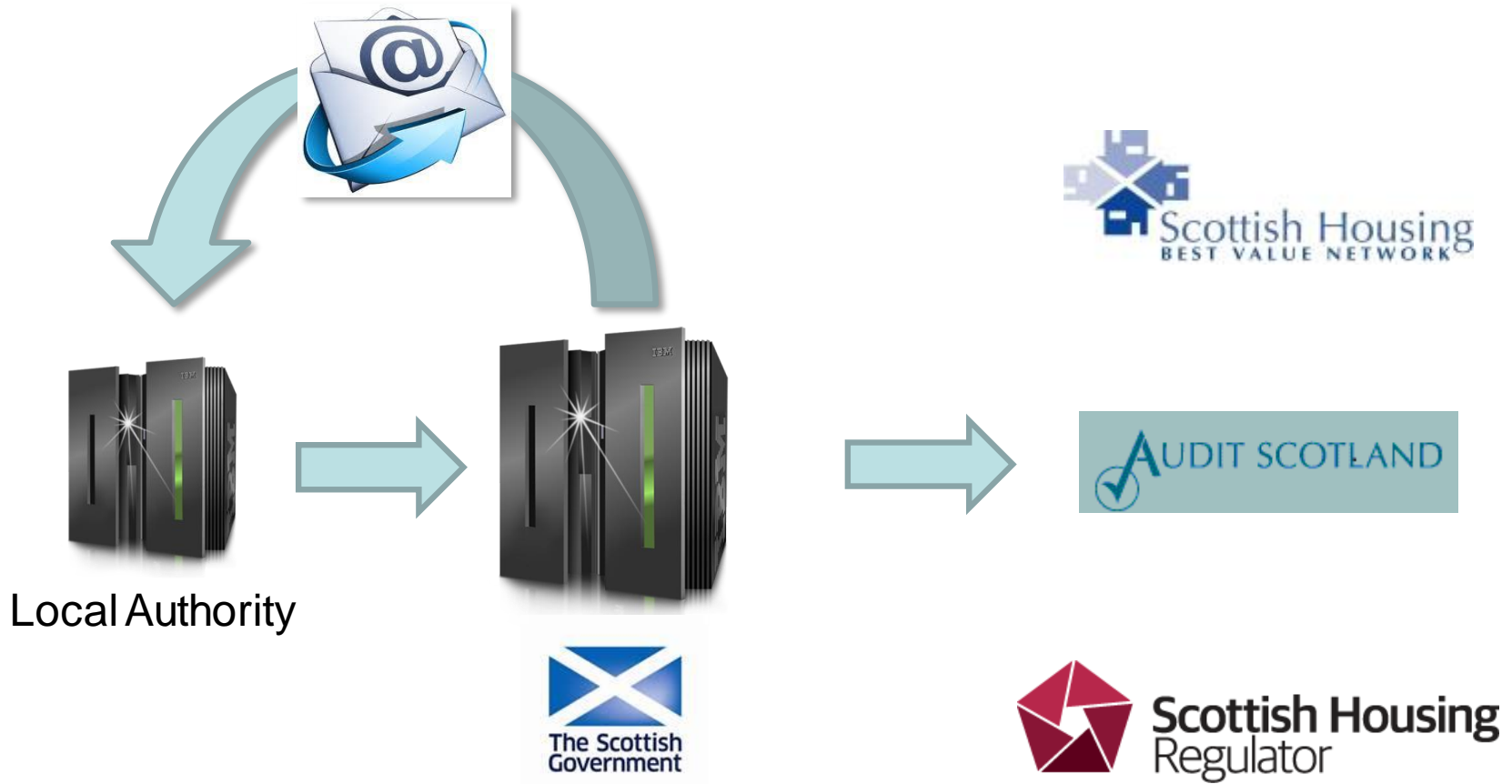
# Timetable for Implementation

Milestone	Date
HL3 Upload System under development – currently in testing	Expected to be operational by end Feb 2014
LAs to start submitting data on a voluntary basis	From Friday 7 <sup>th</sup> March 2014
SG & SHR to meet to agree fine detail of analysis to meet ARC indicators	March / April 2014
HL3 Specific Automatic Reports back to LAs	In time for ARC Deadline (May 2014)
HL3 Worksheet also added to Homelessness Automatic Reports	April/May 2014

# HL3 Auto-Corrections



- To minimise burden on data providers
- Golden rule – a HL1 household cannot be in two forms of temporary accommodation at the same time.
- We'll automatically amend the previous HL3 case to ensure consistency.

# Reducing Burden on LAs through Data Sharing



# Data Sharing Agreement with SHBVN

- Updated to also cover PREVENT1 and HL3 Data
- Available to download at:  
<http://tinyurl.com/sg-shbvn>
- Or complete today.

	
<b>Homelessness Data Sharing</b>	
<b>Agreement Form – Revised 3 March 2014</b>	
<p>Scottish Housing Best Value Network (SHBVN) and the Scottish Government have agreed a data sharing protocol to minimise the burden on local authorities to collect and report statistical and performance data. This agreement is being sent out to all SHBVN members as part of that process.</p>	
<p>I agree to the Scottish Government sharing our HL1, HL2, HL3 and PREVENT1 data with SHBVN based on the following principles.</p>	
<ol style="list-style-type: none"><li>1. The Scottish Government will aggregate the data to form the SHBVN homelessness indicators. No disclosive information will be provided.</li><li>2. The SHBVN will only use the data for inclusion in the benchmarking results and will not publish the data publicly before the official release date.</li></ol>	
<b>Local Authority:</b>	
<b>Name</b>	
<b>(please print):</b>	
<b>Position:</b>	
<b>Date:</b>	
<b>Signature:</b>	
<p>Please complete and return to: <a href="mailto:homelessnessstatisticsinbo@scotland.gsi.gov.uk">homelessnessstatisticsinbo@scotland.gsi.gov.uk</a> or post to:</p>	
<p>Housing Access and Scottish Welfare Fund Statistics Communities Analytical Services 1F-Dockside Victoria Quay EH6 6QQ</p>	

# Forthcoming Publications

Publication	Date of Next Publication	Where to Find
DHPs in Scotland (1 Apr to 30 Nov 2013)	18 March 2014	<a href="http://www.scotland.gov.uk/Topics/Statistics/Browse/Social-Welfare/dhp">http://www.scotland.gov.uk/Topics/Statistics/Browse/Social-Welfare/dhp</a>
Homelessness Statistics (1 Oct to 31 Dec 2013)	25 March 2014	<a href="http://www.scotland.gov.uk/homelessness/tats">http://www.scotland.gov.uk/homelessness/tats</a>
Scottish Welfare Fund (1 Apr to 31 Dec 2013)	15 April 2014	<a href="http://www.scotland.gov.uk/Topics/Statistics/Browse/Social-Welfare/swf">http://www.scotland.gov.uk/Topics/Statistics/Browse/Social-Welfare/swf</a>

All Forthcoming Scottish Government Statistics are announced at:  
<http://www.scotland.gov.uk/Publications/2005/03/18798>

# The End

- Dr. Andrew Waugh  
[andrew.waugh@scotland.gsi.gov.uk](mailto:andrew.waugh@scotland.gsi.gov.uk)  
0131 244 7232
- Ian Morton  
[ian.morton@scotland.gsi.gov.uk](mailto:ian.morton@scotland.gsi.gov.uk)  
0131 244 7235